

# LE RAGIONI DEL RISCHIO TROMBOTICO NEI PAZIENTI CON CANCRO: FISIOPATOLOGIA E IMPLICAZIONI CLINICHE

Prof Mario Mandalà  
University of Perugia



Verona 14.05.2024

# VTE IN CANCER PATIENTS

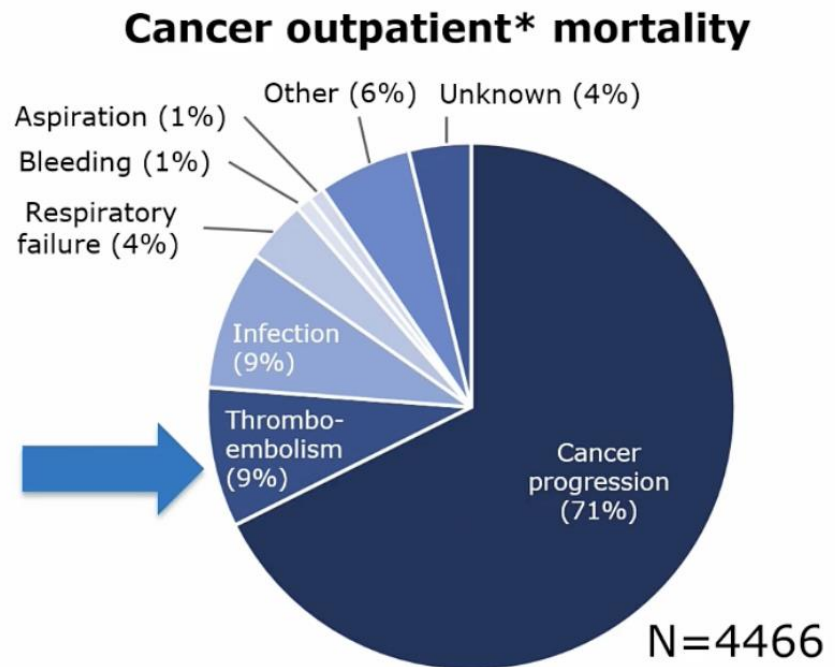
- VTE occurs in over 20% of cancer patients through their lifetime<sup>1</sup>
- VTE may be present in as much a 50% of patients at the time of death<sup>2</sup>

1. Lyman GH, et al. *J Clin Oncol*. 2009;27:4821-46..

2. Gao S, et al. *Expert Rev Anticancer Ther*. 2004;4:303-20.

# VTE: why should we prevent it?

- Thromboembolism is the **second leading** cause of death in patients with cancer
- Patients (N=4466) from 117 US centres, receiving chemotherapy, were enrolled in a prospective observational study
- Annual death rate for VTE was 448 per 100,000 cancer outpatients
  - **47-fold increase** over the general population



\*Receiving chemotherapy.  
Khorana AA et al. *J Thromb Haemost* 2007;5:632-634.

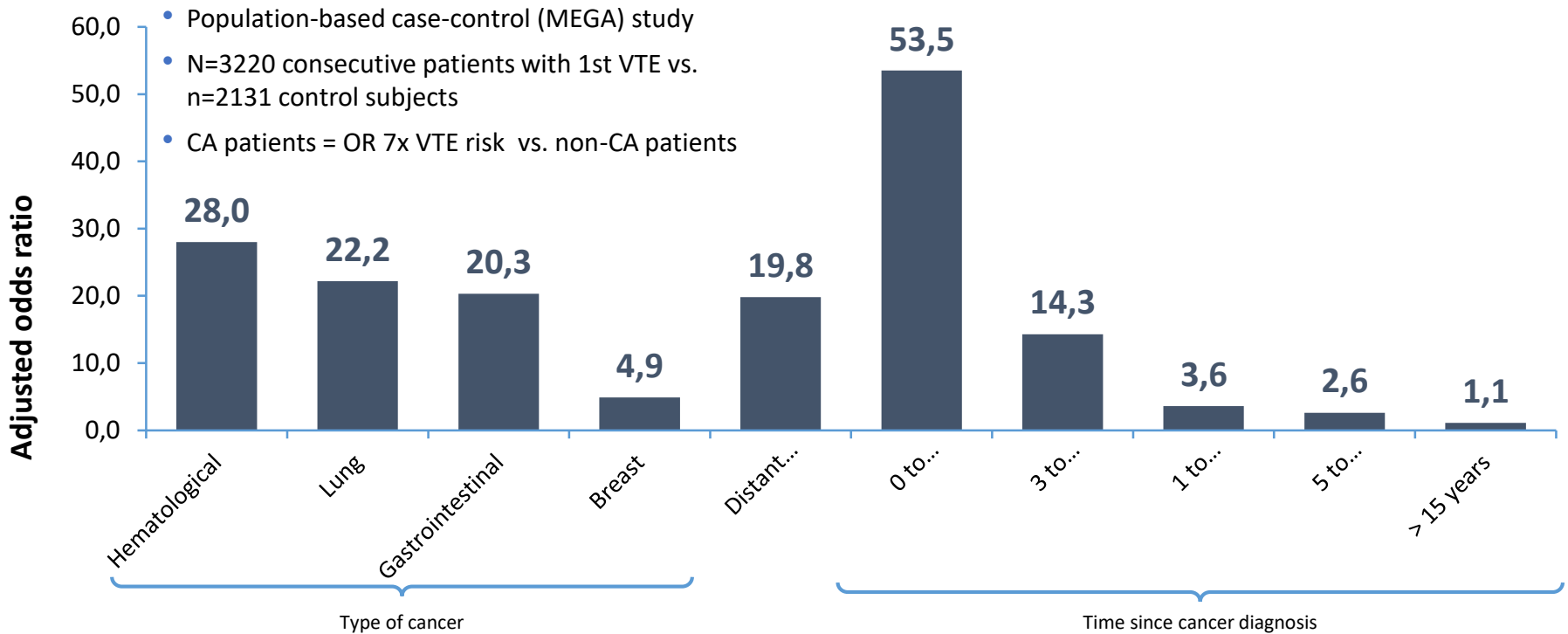
# VTE: why should we prevent it?

## CAT is related to a 30-fold increased risk of death

Exposure	Patient-years	Deaths	Mortality per 100 patient-years (95% CI)	HR (95% CI)
No VTE or cancer	2,777,713	1750	0.63 (0.60–0.66)	1.0 (reference)
VTE only	1317	67	5.1 (4.0–6.4)	2.6 (2.0–3.3)
Cancer only	5650	721	12.7 (11.9–13.7)	7.4 (6.8–8.2)
Cancer and VTE	131	72	55.0 (43.6–69.3)	31.2 (24.6–39.6)

*\*Receiving chemotherapy.  
Khorana AA et al. J Thromb Haemost 2007;5:632-634.*

# Effect of Malignancy on Risk of Venous Thromboembolism (VTE)

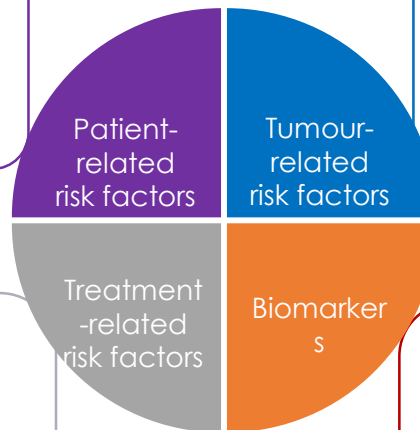


Blom et. al. JAMA 2005;293:715

# Risk factors for developing VTE in cancer patients

- **Medical comorbidities (CCI  $\geq 3$ )**
- **Presence of varicose veins**
- **Prior VTE**
- **Hereditary risk factors (e.g. factor V Leiden)**

- Platinum-based and other chemotherapy
- Anti-angiogenesis agents
- Hormonal therapy
- Surgery
- Radiotherapy
- Blood transfusion
- Central venous catheters
- Immobility and hospitalisation



- Site of cancer:
  - Very high: stomach, pancreas
  - High: lung, haematological, gynaecological, brain, renal, bladder
- Histological grade of a tumour
- Stage of cancer/metastases
- Time since cancer diagnosis

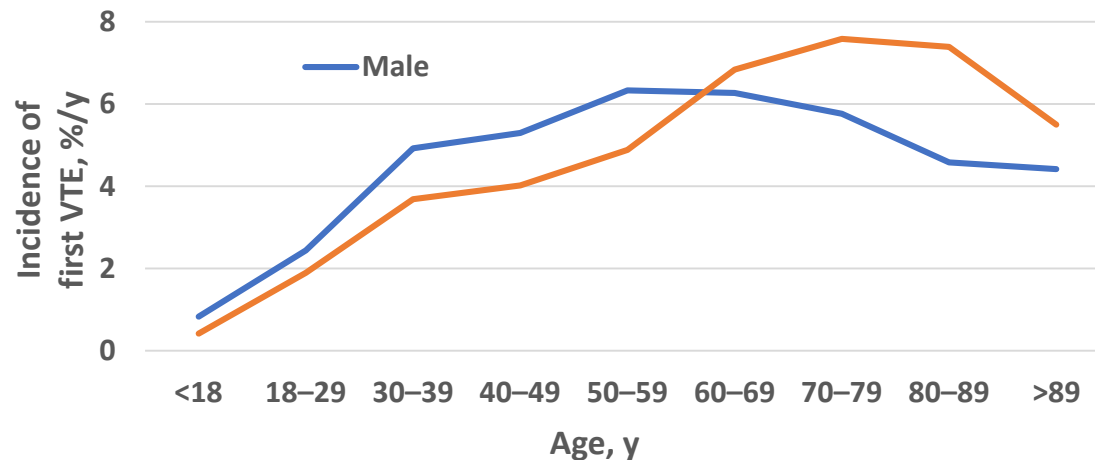
- Haematological biomarkers (e.g. platelet, haemoglobin, leukocyte counts)
- D-dimer, P-selectin,
- Prothrombin fragment 1 + 2
- Thrombin generation potential
- Microvesicle-tissue factor activity
- C-reactive protein

CCI: Charlson Comorbidity Index.

I. Ay C, et al. *Thromb Haemost* 2017;117(2):219 - 230.

# VTE in Active Cancer by Gender and Age

- Incidence rate of a first venous thromboembolic event:
  - 5.8 (95% CI: 5.7, 6.0) per 100 person-years
- Incidence was highest in the elderly population



- Patients with active cancer and a first VTE (N = 6592). Active cancer was defined as a primary diagnosis of cancer (excluding non-melanoma skin cancer) as a hospital discharge diagnosis or treatment with radiation, chemotherapy or bone marrow transplantation during hospitalization.
- Cohen AT, et al. *Thromb Haemost* 2017;117:57-65.

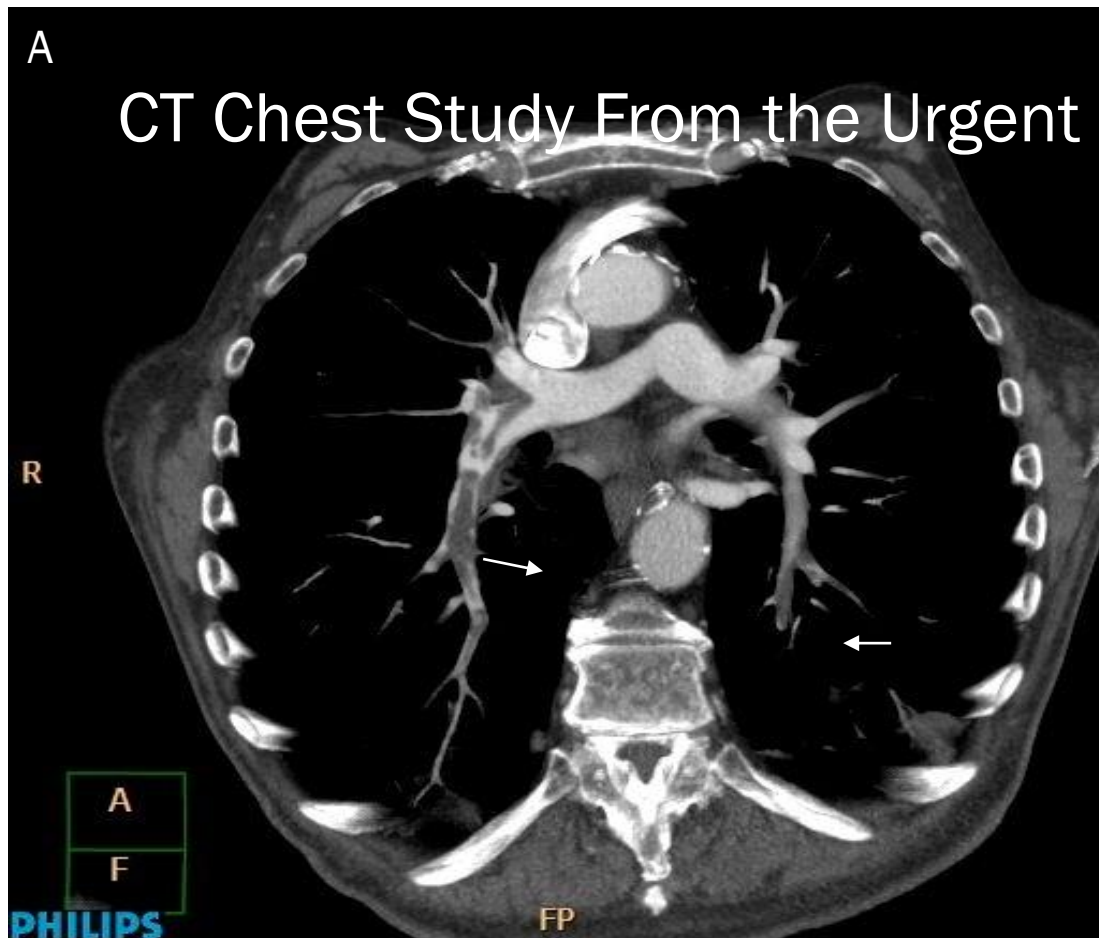


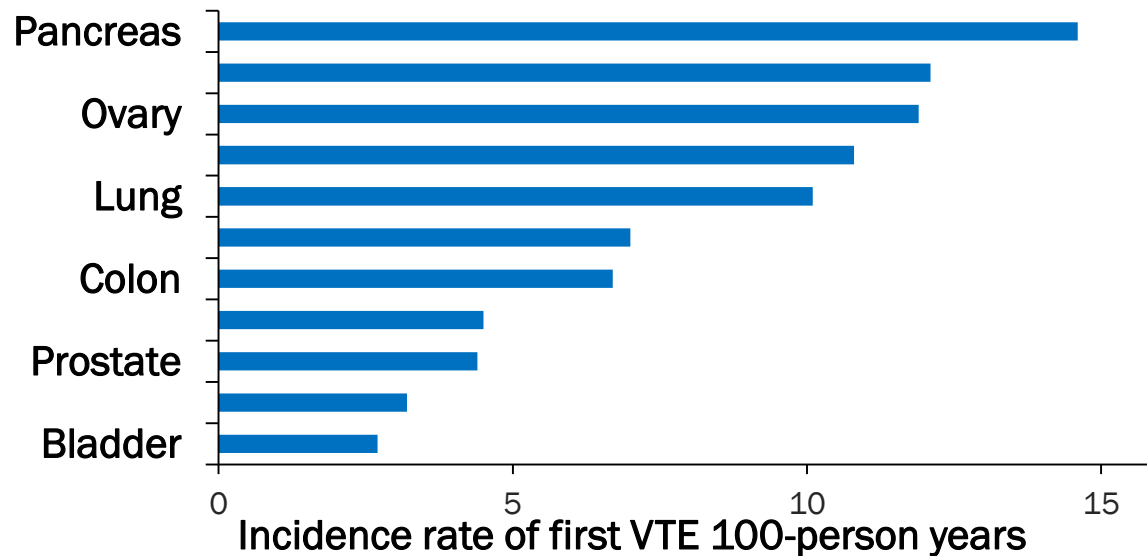
Image provided by  
Prof A. Maraveyas.





Images provided by  
Prof EM Hylek.

# Incidence of VTE After Cancer Diagnosis



Age	Bladder	Breast	Colon	Lung	Prostate	Uterus	Haematological	Brain	Ovary	Pancreas	Stomach
Total≥18	2.7 (2.4, 3.0)	3.2 (2.9, 3.4)	6.7 (6.3, 7.2)	10.1 (9.5, 10.8)	4.4 (4.0, 4.7)	7.0 (5.9, 8.3)	4.5 (4.1, 4.8)	12.1 (10.3, 14.0)	11.9 (10.6, 13.2)	14.6 (12.9, 16.5)	10.8 (9.5, 12.3)

# Prevalence of tumour types in active cancer-associated thrombosis

- Patients With Active Cancer\* and a First VTE (N = 6592)

	DVT (n = 3055)	PE (n = 3537)	Total (n = 6592)
Common cancer types, %			
Prostate (men)	19.1	16.1	17.5
Breast (women)	14.0	16.0	15.1
Lung	10.3	17.0	13.9
Colon	12.6	12.5	12.5
Ovarian (women)	8.5	10.3	9.5
Haematological	11.8	8.7	10.1
Bladder	6.1	3.8	4.8
Uterus (women)	5.2	3.3	4.2
Pancreas	4.2	3.7	3.9
Stomach	3.4	3.8	3.6
Brain	2.6	2.5	2.5

- \*Defined as an admission to hospital with a primary diagnosis of cancer (excluding non-melanoma skin cancer), or a recording of radiation, chemotherapy or bone marrow transplantation in HES records.

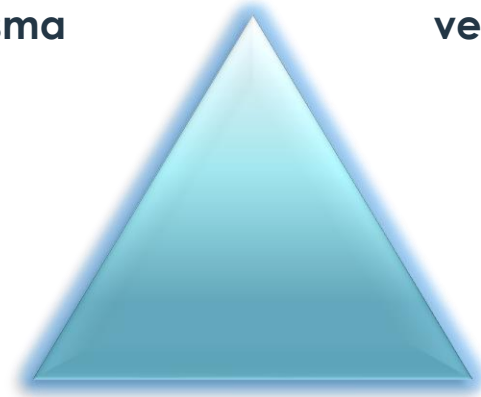
# Pathogenesis of Thrombosis



Virchow – triad model 1856

Hypercoagulability of  
plasma

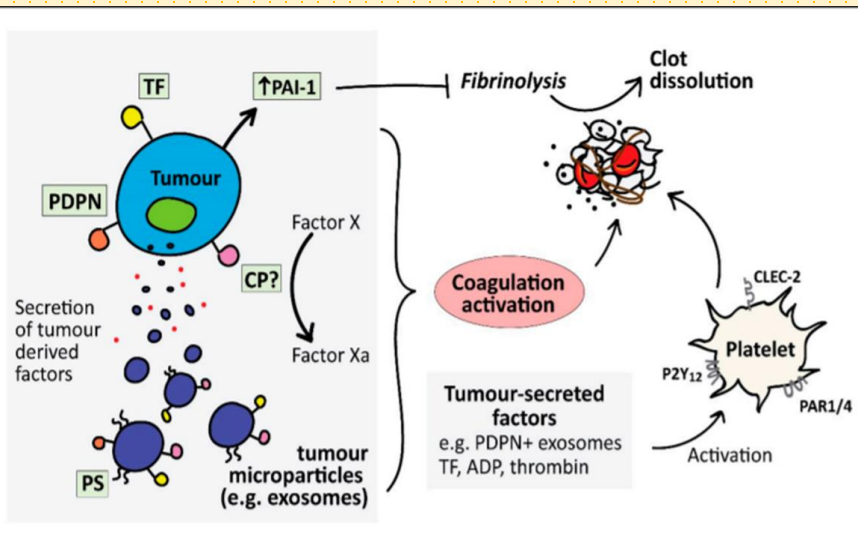
Damage to blood  
vessel wall



Stasis of  
blood flow

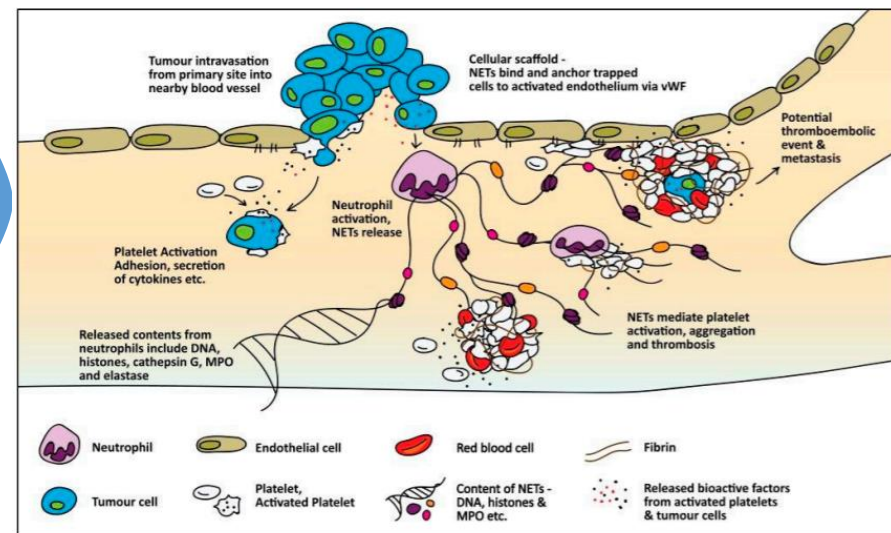
## Direct mechanisms of thrombosis in cancer

### Direct activation of coagulation and inhibition of fibrinolysis by cancer cells and secreted factors



## Indirect mechanisms of thrombosis in cancer

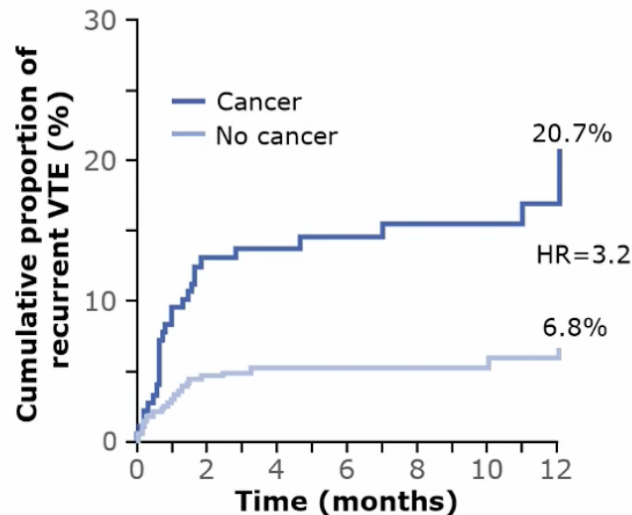
### Indirect activation of coagulation through inflammation (CK release & neutrophil activation)



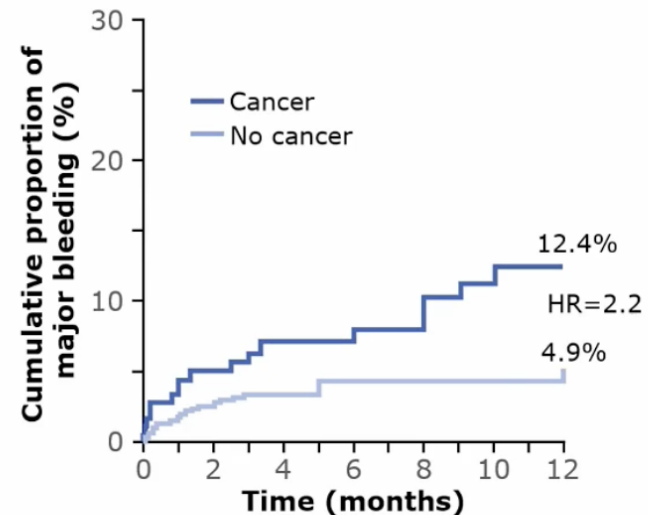
# VTE in cancer a challenging problem

## Risk of events in patients receiving anticoagulation therapy for VTE

### Recurrent VTE



### Major bleeding\*

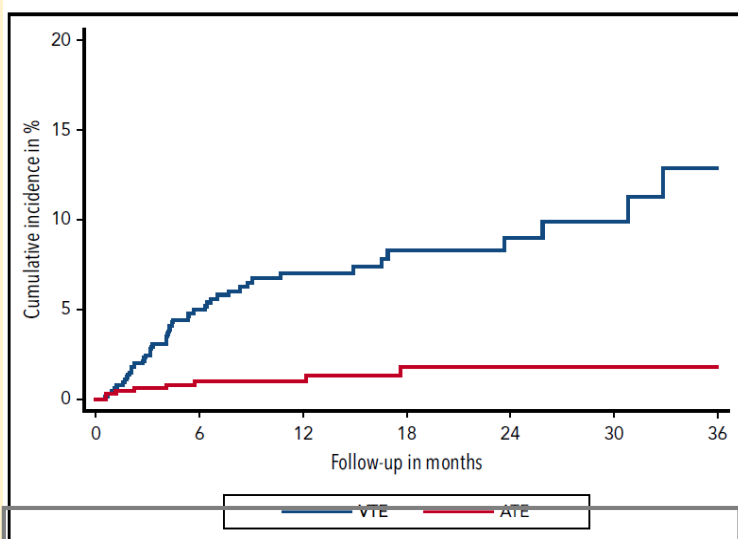


Data predates the introduction of the NOACs.

\*Defined as overt and associated with either a decrease in the haemoglobin level (at least 2.0 g/dl) or the need for transfusion ( $\geq 2$  units of blood), if it was retroperitoneal or intracranial, or if the treatment had to be discontinued permanently.

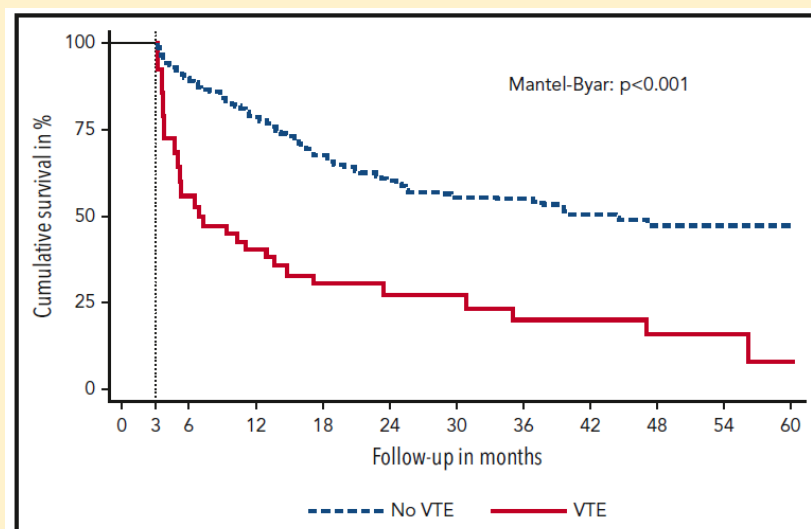
Prandoni P et al. *Blood* 2002;100:3484-3488.

## Patients with cancer under immune checkpoint inhibitor therapy are at high risk of thromboembolism, associated with increased mortality



### Cumulative incidence functions of VTE and ATE.

obtained within a competing risk framework, considering all-cause mortality as the competing event of interest

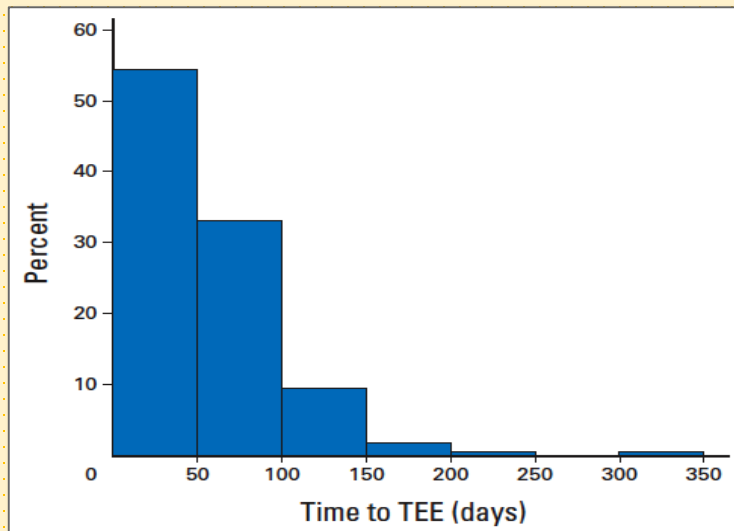


### Landmark analysis of OS.

Patients are stratified by the occurrence of **VTE in the first 3** months of immune checkpoint inhibitor therapy.

## Risk of VTE with **Platinum** Based chemo

- 18.1% experienced a TEE during treatment
- 44% of all events were incidental
- 88% of events occurred within the first 100 days

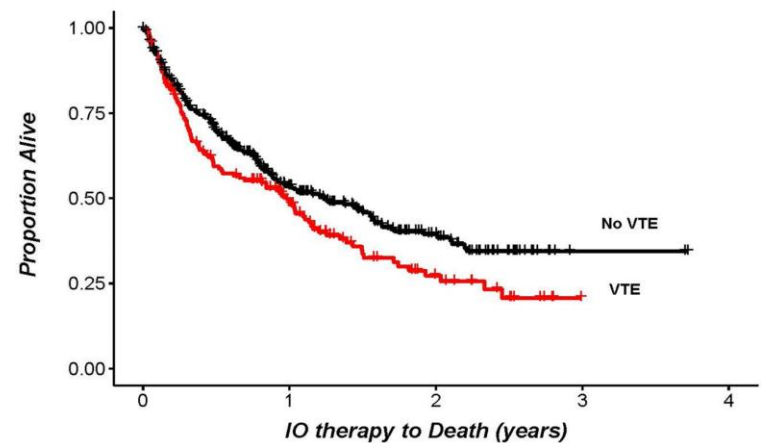


J Clin Oncol 2011; 29: 3466-73.

## VTE is common in cancer patients receiving **immunotherapy**

either as single-agent or in combination regimens, affecting nearly one-third of all patients and may potentially be associated with worsened survival

*Survival analysis following immunotherapy*



Roopkumar J. et al. Blood. 2018; 132:2510



PATIENT AWARENESS

# ESMO VTE GUIDELINES : Ann Oncol 2023

- Patient education materials on CAT including risk factors, signs and symptoms and information on positive lifestyle factors, should be one component of the information package provided to all ambulatory patients scheduled to receive systemic anti-cancer treatment [III, A].
- Cancer patients should be offered a CAT risk assessment and have an opportunity to discuss their particular risk [III, B].

# ESMO VTE GUIDELINES : Ann Oncol 2023

- VTE risk assessment should be based on validated RAMs such as the KRS, COMPASS-CAT or the Vienna-CATS nomogram score [III, C].
- An estimated risk of VTE >8%-10% at 6 months is suggested as threshold for discussing primary thromboprophylaxis [II, C].

**Should hospitalized  
patients with active cancer  
receive  
anticoagulation  
for thromboprophylaxis?**

Hospitalized patients  
who have active malignancy  
and acute medical illness or  
reduced mobility  
**should be offered  
pharmacologic  
thromboprophylaxis** in the  
absence of bleeding  
or other contraindications

Recom. ASCO 2019

# Ambulatory cancer patients receiving chemotherapy

**WHO'S AT RISK FOR  
THROMBOSIS**

# Khorana risk score per la CAT



National  
Comprehensive  
Cancer  
Network®

## NCCN Guidelines Version 3.2021

## Cancer-Associated Venous Thromboembolic Disease

[NCCN Guidelines Index](#)

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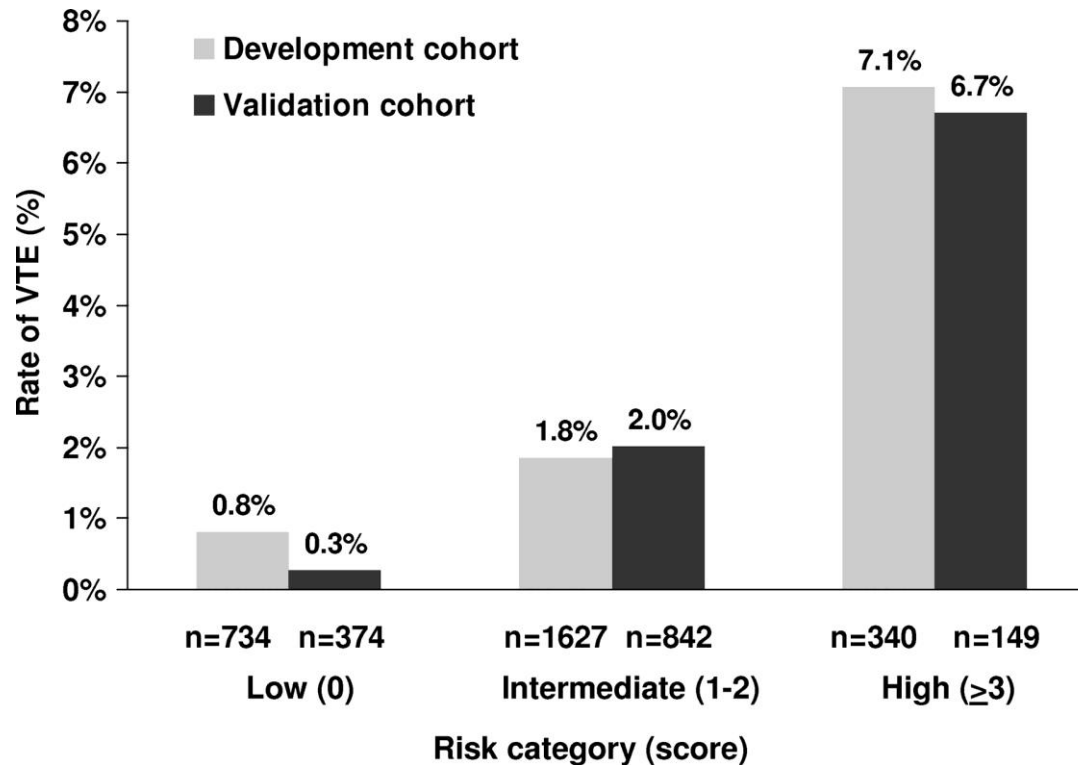
[Discussion](#)

### VTE RISK ASSESSMENT IN CANCER OUTPATIENTS

#### Khorana Predictive Model for Chemotherapy-Associated VTE<sup>1</sup>

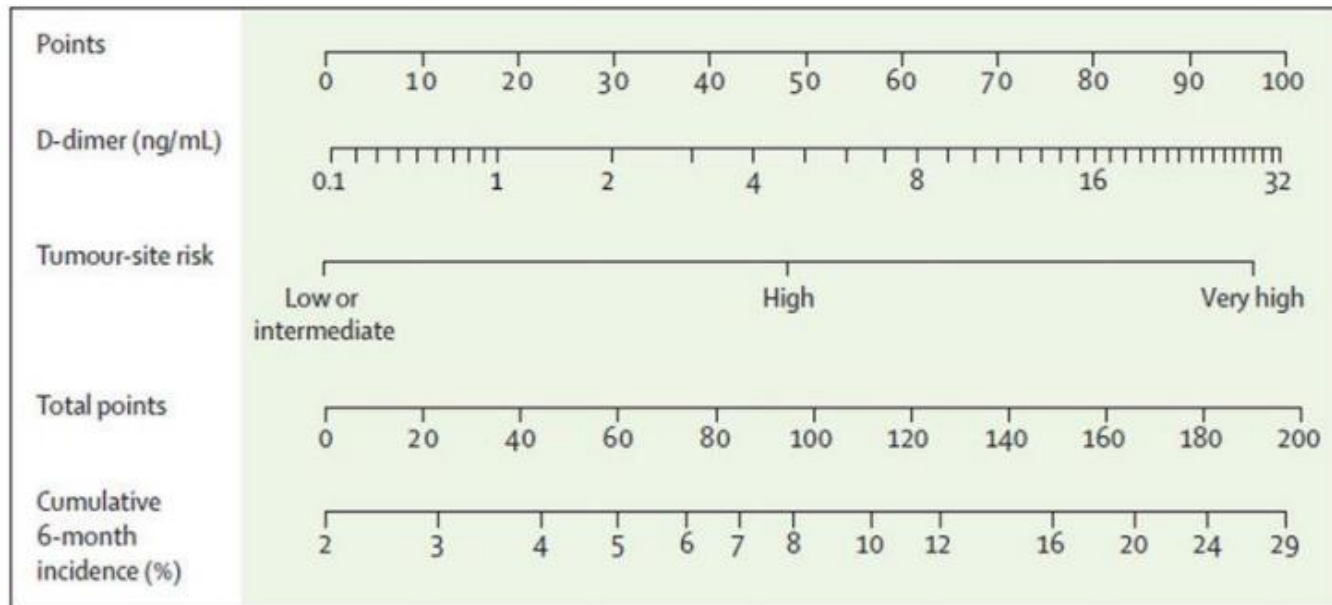
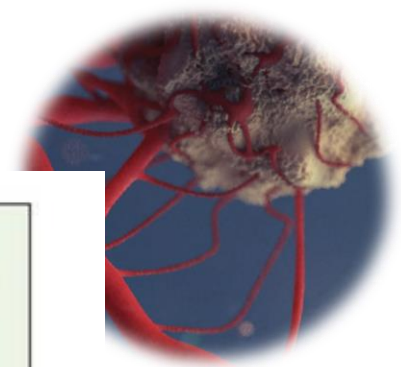
<u>Patient Characteristic</u>	<u>Risk Score</u>		
• Site of primary cancer			
› Very high risk (stomach, pancreas)	2		
› High risk (lung, lymphoma, gynecologic, bladder, testicular)	1		
• Prechemotherapy platelet count $350 \times 10^9/L$ or higher	1		
• Hemoglobin level less than 10 g/dL or use of red cell growth factors	1		
• Prechemotherapy leukocyte count higher than $11 \times 10^9/L$	1		
• BMI $35 \text{ kg/m}^2$ or higher	1		
 <u>Total Score</u>		<u>Risk Category</u>	<u>Risk of Symptomatic VTE<sup>2</sup></u>
0		Low	0.3–1.5%
1, 2		Intermediate	2.0–4.8%
3 or higher		High	6.7–12.9%

# Rate of VTE: clinical score



*Khorana, A. A. et al. Blood 2007*

## RAMs: Risk Assessment Models



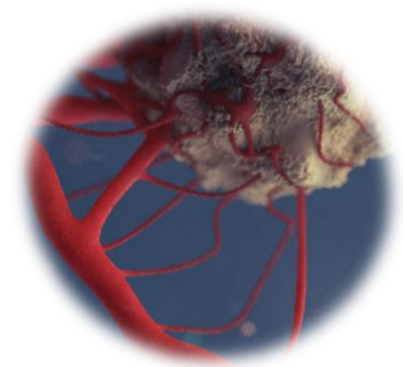
Vienna-CATS, Vienna Cancer and Thrombosis Study.

Reproduced with permission from Pabinger I, et al



## RAMs: Risk Assessment Models

COMPASS-CAT score for VTE prediction  
in ambulatory patients with cancer



Predictors for VTE	Score <sup>a</sup>
<b>Cancer-related risk factors</b>	
Anti-hormonal therapy for women with hormone receptor-positive breast cancer or on anthracycline treatment	6
Time since cancer diagnosis ≤6 months	4
CVC	3
Advanced stage of cancer	2
<b>Predisposing risk factors</b>	
Cardiovascular risk factors (composed by at least two of the following predictors: personal history of peripheral artery disease, ischaemic stroke, coronary artery disease, hypertension, hyperlipidaemia, diabetes, obesity)	5
Recent hospitalisation for acute medical illness	5
Personal history of VTE	1
<b>Biomarkers</b>	
Platelet count ≥350 x 10 <sup>9</sup> /l	2

COMPASS-CAT, Prospective Comparison of Methods for thromboembolic risk assessment with clinical Perceptions and AwareneSS in real-life patients-Cancer-Associated Thrombosis; CVC, central venous catheter; VTE, venous thromboembolism.

# RAMs: Risk Assessment Models

## The Hypercan Score in Lung cancer

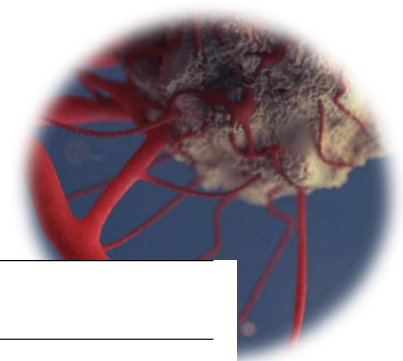


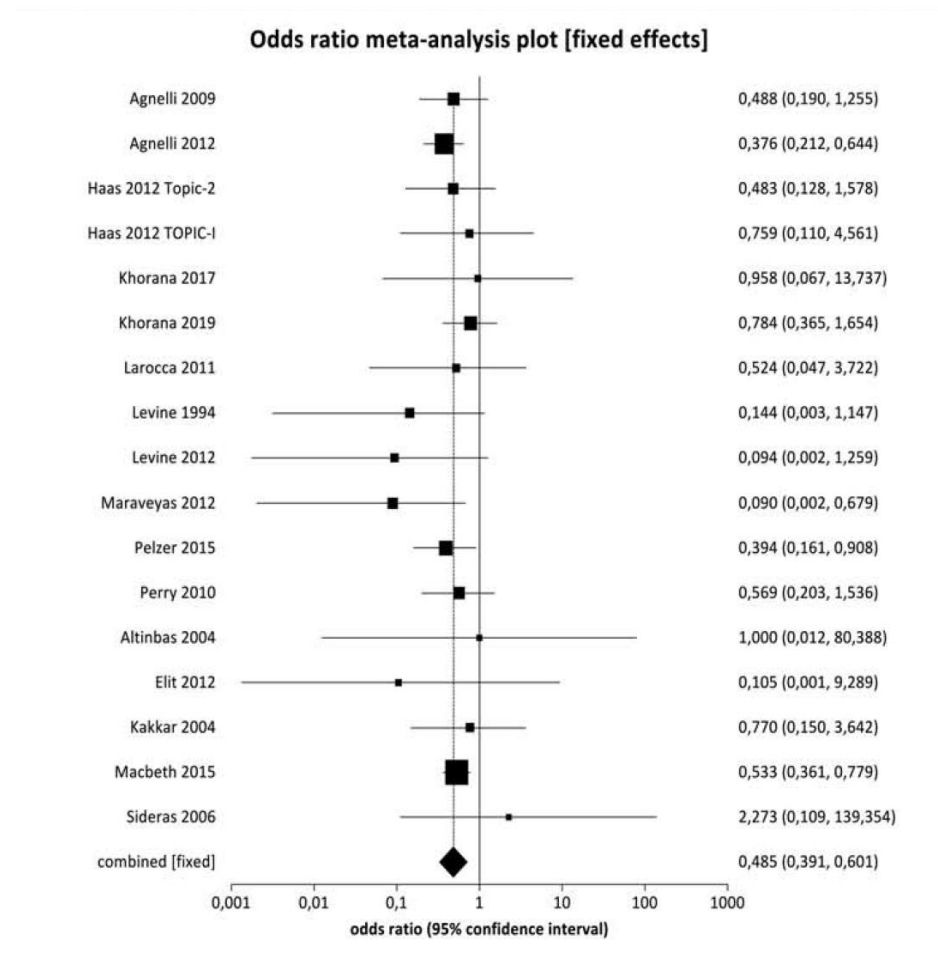
Table 4. Cumulative incidence of VTE and death, and accuracy of RAMs.

RAM	Risk Category	6-Month VTE								6-Month Death					
		Cumulative Incidence (95% CI)	Log-Rank (p-Value)	ROC AUC (p-Value)	Sen (%)	Spe (%)	PPV (%)	NPV (%)	Cumulative Incidence (95% CI)	Log-Rank (p-Value)	ROC AUC (p-Value)	Sen (%)	Spe (%)	PPV (%)	NPV (%)
HYPERCAN • D-dimer/ECOG 2	Low High	6 (4–10) 25 (24–42)	< 0.001	0.734 (<0.001)	63	74	25	93	19 (15–23) 55 (47–63)	<0.001	0.726 (<0.001)	56	80	55	81
KRS • Cancer site/BMI $\geq 35$ kg/m <sup>2</sup> • Hemoglobin < 100g/L • Platelet > $350 \times 10^9$ /L • Leukocyte > $11 \times 10^9$ /L	Low Int-High	11 (9–15) 16 (9–30)	0.089	0.543 (0.290)	21	86	16	89	26 (22–30) 49 (39–62)	<0.001	0.609 (<0.001)	25	89	49	74
New-Vienna CATS * • Cancer site/D-dimer	Low-Int High	9 (5–13) 14 (12–22)	0.008	0.642 (0.001)	70	43	14	92	15 (11–20) 40 (35–46)	<0.001	0.670 (<0.001)	79	50	40	85
PROTECHT • Cancer site/BMI $\geq 35$ kg/m <sup>2</sup> • Hemoglobin < 100g/L • Platelet > $350 \times 10^9$ /L • Leukocyte > $11 \times 10^9$ /L • Gemcitabine/Platinum	Low-Int High	11 (8–17) 12 (9–18)	0.730	0.527 (0.504)	59	42	12	89	24 (18–30) 34 (29–40)	0.012	0.584 (0.002)	66	46	34	76
CONKO • Cancer site/WHO $\geq 2$ • Hemoglobin < 100g/L • Platelet > $350 \times 10^9$ /L • Leukocyte > $11 \times 10^9$ /L	Int High	10 (8–14) 19 (13–36)	0.004	0.558 (0.156)	26	85	19	90	25 (21–29) 57 (48–69)	<0.001	0.647 (<0.001)	31	90	56	75

Data shows the cumulative incidence of VTE and death of the five RAMs at different risk stratification. The accuracy of the RAMs by ROC curve and the sensibility, specificity, PPV, and NPV. RAM: risk assessment model; VTE: venous thromboembolism; HYPERCAN: hypercoagulation in cancer; KRS: Khorana risk score; BMI: body mass index; WHO: World Health Organization; ROC: receiver operating characteristics; AUC: area under the curve; Sen: sensitivity; Spe: specificity; PPV: positive predictive value; NPV: negative predictive value; Int: intermediate. \* The New-Vienna CATS score set at a VTE cumulative incidence of 10%.

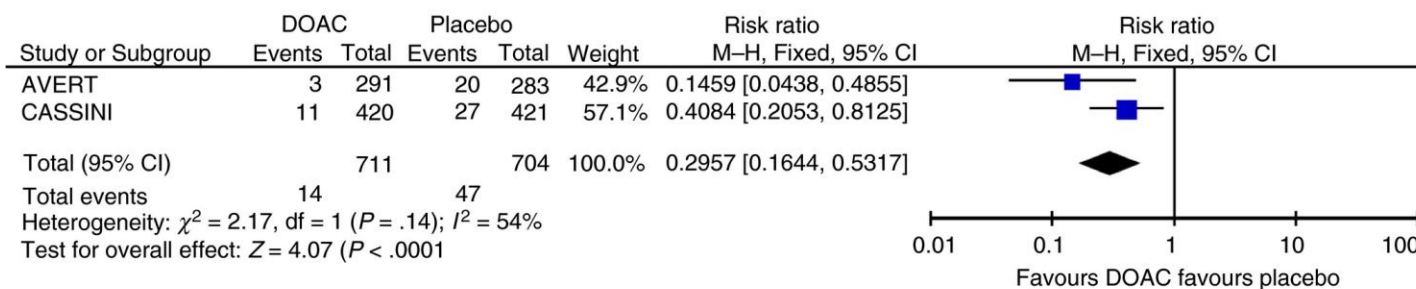
# WHY PROPHYLAXIS IS NOT ROUTINE?

# New meta-analysis on VTE in ambulatory cancer patients

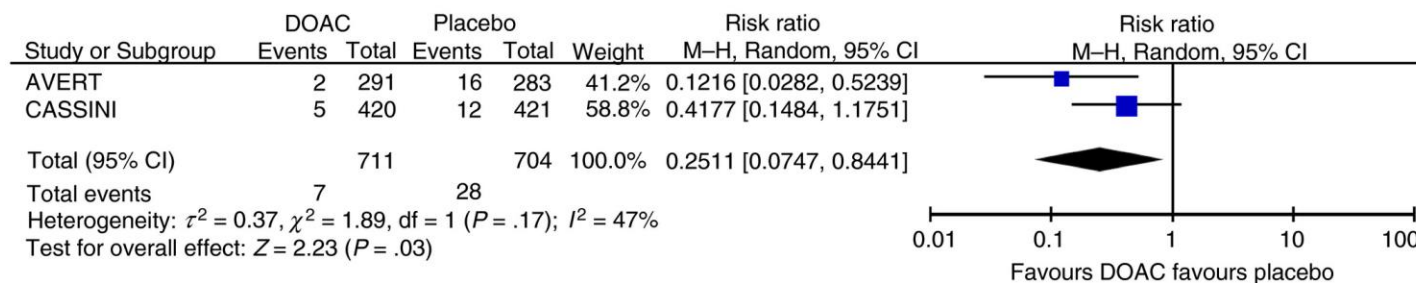


# Direct oral anticoagulant for the prevention of thrombosis in ambulatory patients with cancer: a systematic review and meta-analysis

## A Risk ratio for overall VTE (during on-treatment period: sensitivity analysis)



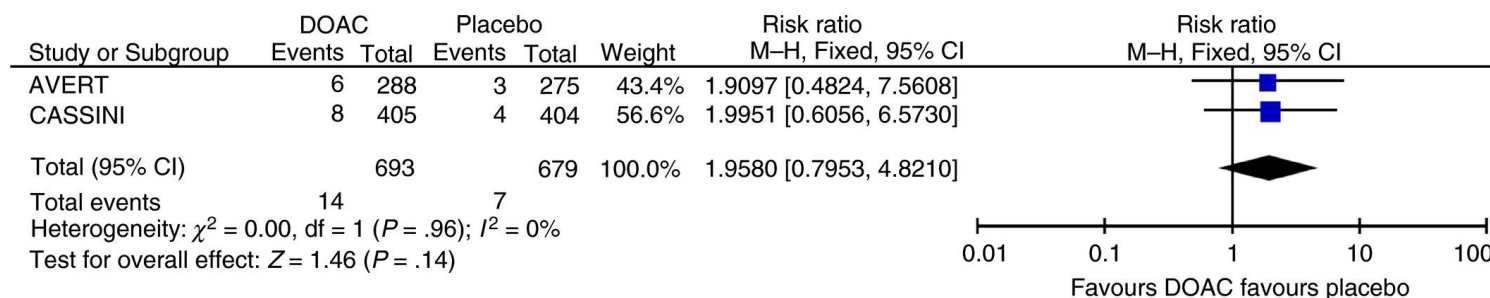
## B Risk ratio for symptomatic VTE (during on-treatment period: sensitivity analysis)



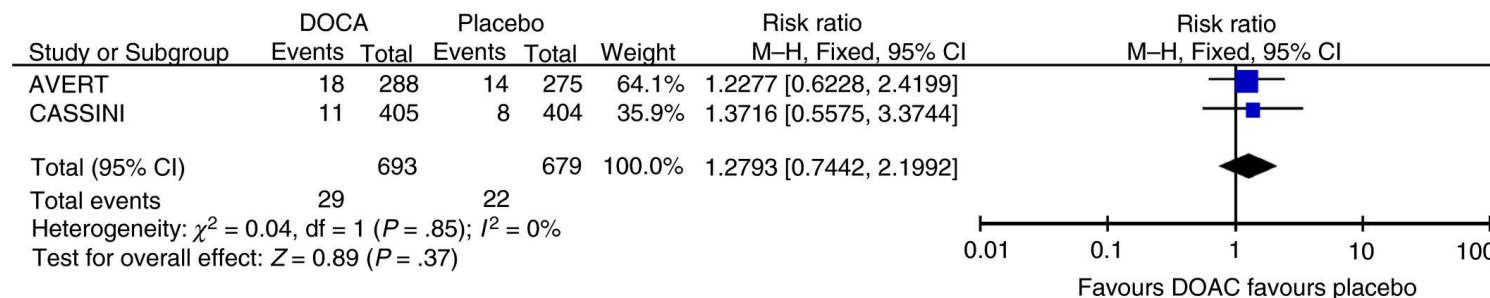
*Journal of Thrombosis and Haemostasis*, Volume: 17, Issue: 12, Pages: 2141-2151, First published: 17 August 2019, DOI: (10.1111/jth.14613)

# Direct oral anticoagulant for the prevention of thrombosis in ambulatory patients with cancer: a systematic review and meta-analysis

## A Risk ratio for major bleeding (during on-treatment period)



## B Risk ratio for clinically relevant non-major bleeding (during on-treatment period)












*Journal of Thrombosis and Haemostasis*, Volume: 17, Issue: 12, Pages: 2141-2151, First published: 17 August 2019, DOI: (10.1111/jth.14613)

## Recommendations

<b>ASCO 2019</b>	<ul style="list-style-type: none"> <li>Routine pharmacologic thromboprophylaxis should not be offered</li> <li>Khorana score <math>\geq 2</math> may be offered apixaban, rivaroxaban, or LMWH</li> </ul>
<b>ITAC 2022*</b>	<ul style="list-style-type: none"> <li>LMWH, VKAs, or DOACs not recommended routinely</li> <li>LMWH or DOACs (rivaroxaban or apixaban) in locally advanced or M+ <b>pancreatic cancer</b> treated with systemic anticancer therapy with low bleeding risk</li> <li>DOACs (rivaroxaban or apixaban) recommended intermediate-to-high risk of VTE (<i>Khorana</i> <del>score</del> <math>\geq 2</math>)</li> </ul>
<b>SSC of the ISTH</b>	<ul style="list-style-type: none"> <li>DOACs suggested if <b>Khorana</b> <del>score</del> <math>\geq 2</math> no <del>significant</del> interactions, and no high risk for bleeding (e.g. GI cancer)</li> <li>LMWH, if concerns for safety of DOAC</li> <li>If DOACs were to be used, administered for up to 6 months</li> </ul>
<b>ASH 2021</b>	<ul style="list-style-type: none"> <li>Intermediate risk: DOAC (apixaban or rivaroxaban) - no LMWH</li> <li>High risk: parenteral thromboprophylaxis (LMWH) DOAC (apixaban or rivaroxaban)</li> </ul>
<b>ESMO 2023</b>	<ul style="list-style-type: none"> <li>For ambulatory pancreatic cancer patients on first-line systemic anticancer treatment , <b>LMWH</b> given at a higher dose (150 IU/kg dalteparin or 1 mg/kg enoxaparin) for a maximum of 3 months may be considered [II, C]</li> <li>In ambulatory cancer patients starting systemic anticancer treatment who have a high thrombosis risk, <b>apixaban, rivaroxaban or LMWH</b> may be considered for primary thromboprophylaxis for a maximum of 6 months [I, B]</li> </ul>

\*International Initiative on Thrombosis and Cancer

Key NS, et al. J Clin Oncol 2019; Farge D, et al. Lancet Oncol 2022; Wang TF, et al. JTH 2019; Lyman G, et al. Blood Adv 2021; Falanga A, et al. Ann Oncol 2023

	LMWH		No thromboprophylaxis			Risk Ratio		Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	IV, Random, 95% CI		IV, Random, 95% CI
1.2.1 Dalteparin								
Altinbas 2004	0	42	1	42	0.8%	0.33 [0.01, 7.96]		
Kakkur 2004	4	190	5	184	5.0%	0.77 [0.21, 2.84]		
Khorana 2015	2	50	2	48	2.3%	0.96 [0.14, 6.55]		
Maraveyas 2012	4	59	11	60	7.2%	0.37 [0.12, 1.10]		
Perry 2010	11	99	13	87	15.1%	0.74 [0.35, 1.57]		
Sideras 2006	4	68	5	70	5.3%	0.82 [0.23, 2.94]		
Subtotal (95% CI)		508		491	35.8%	0.66 [0.40, 1.07]		
Total events	25		37					
Heterogeneity: Tau <sup>2</sup> = 0.00; Chi <sup>2</sup> = 1.69, df = 5 (P = 0.89); I <sup>2</sup> = 0%								
Test for overall effect: Z = 1.68 (P = 0.09)								
1.2.2 Certoparin								
Haas 2012	8	442	14	441	11.5%	0.57 [0.24, 1.35]		
Subtotal (95% CI)		442		441	11.5%	0.57 [0.24, 1.35]		

**Symptomatic VTE**  
LMWH vs. placebo/no LMWH

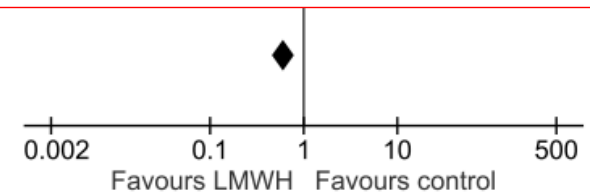
**Total (95% CI)**                      **2168**                      **1763**    **100.0%**                      **0.62 [0.46, 0.83]**

**Total events**                      **72**                      **108**

Heterogeneity:  $\tau^2 = 0.00$ ;  $\chi^2 = 6.34$ ,  $df = 10$  ( $P = 0.79$ );  $I^2 = 0\%$

Test for overall effect:  $Z = 3.23$  ( $P = 0.001$ )

Test for subgroup differences:  $\chi^2 = 4.66$ ,  $df = 5$  ( $P = 0.46$ ),  $I^2 = 0\%$



Heterogeneity: Not applicable  
Test for overall effect:  $Z = 2.31$  ( $P = 0.02$ )

<b>1.2.5 Bemiparin</b>							
Lecumberri 2013	0	20	4	18	1.0%	0.10 [0.01, 1.75]	
Subtotal (95% CI)		20		18	1.0%	0.10 [0.01, 1.75]	

Total events                      0                      4

Heterogeneity: Not applicable  
Test for overall effect:  $Z = 1.58$  ( $P = 0.11$ )

<b>1.2.6 Tinzaparin</b>							
Meyer 2018	18	269	20	280	22.5%	0.94 [0.51, 1.73]	
Subtotal (95% CI)		269		280	22.5%	0.94 [0.51, 1.73]	

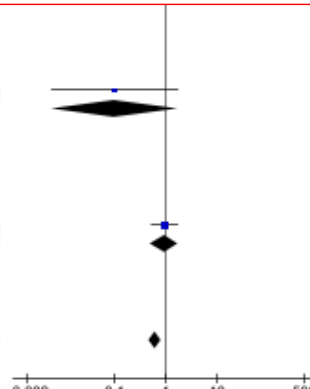
Total events                      18                      20

Heterogeneity: Not applicable  
Test for overall effect:  $Z = 0.21$  ( $P = 0.84$ )

**Total (95% CI)**                      **2168**                      **1763**    **100.0%**                      **0.62 [0.46, 0.83]**

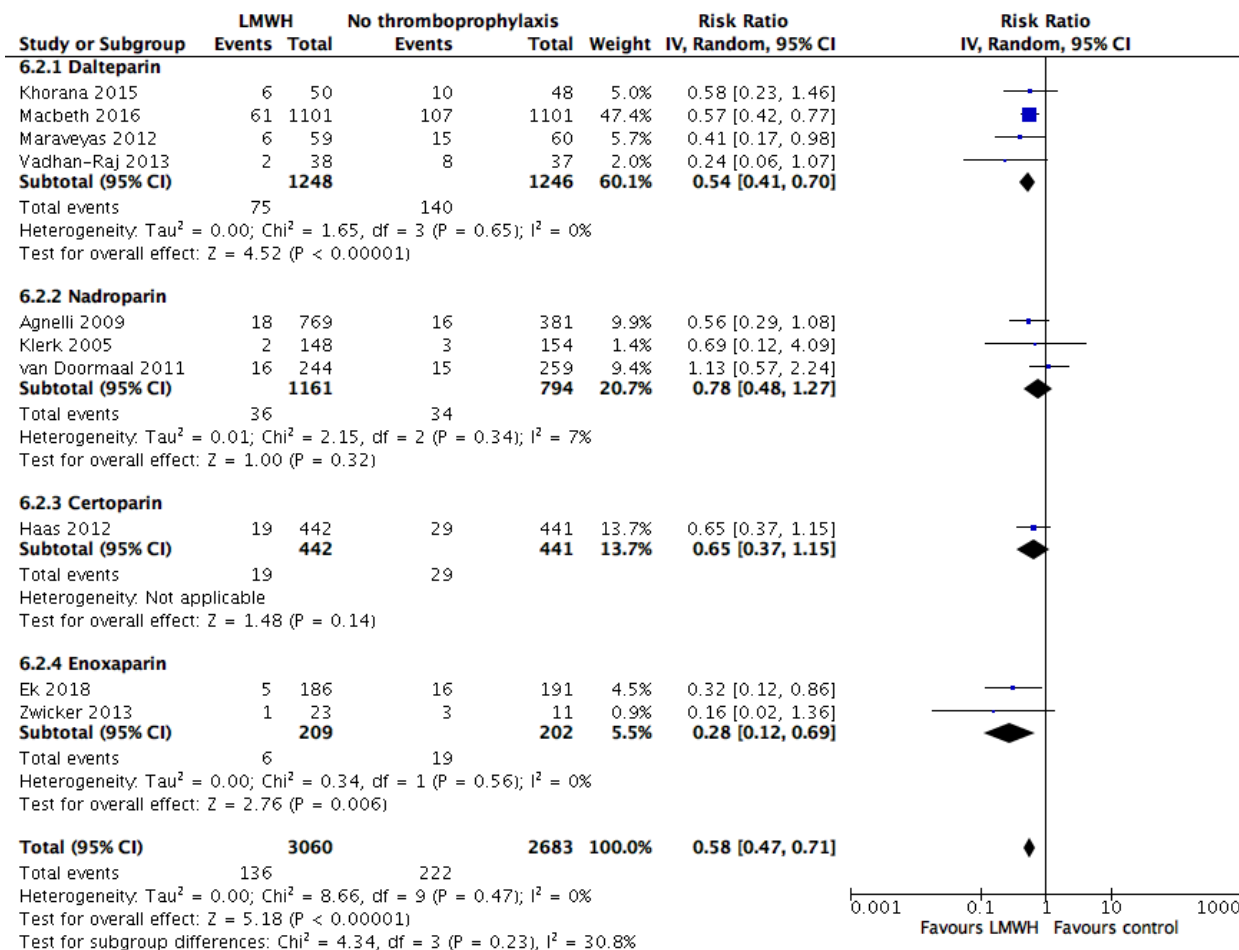
**Total events**                      **72**                      **108**

Heterogeneity:  $\tau^2 = 0.00$ ;  $\chi^2 = 6.34$ ,  $df = 10$  ( $P = 0.79$ );  $I^2 = 0\%$

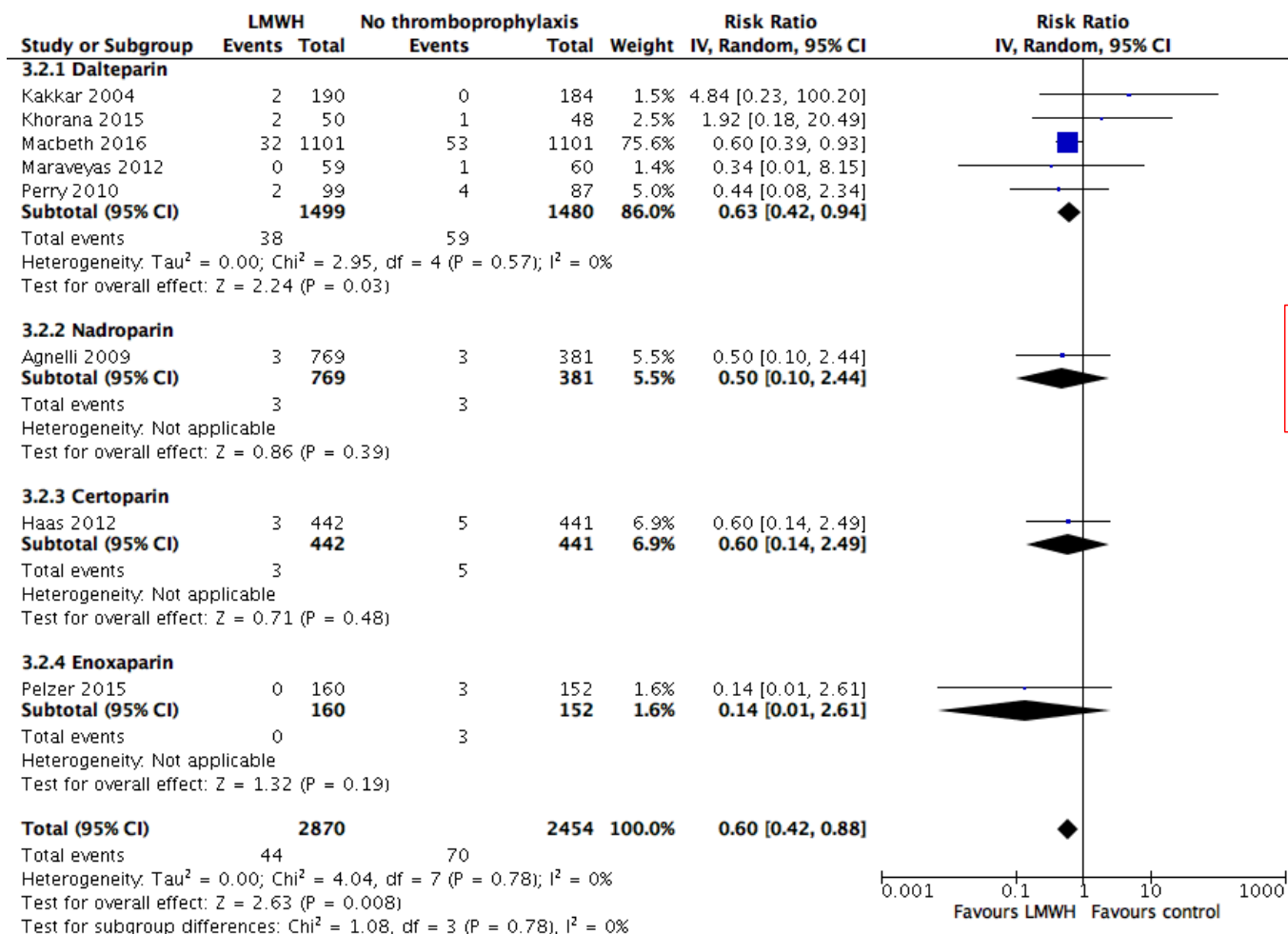


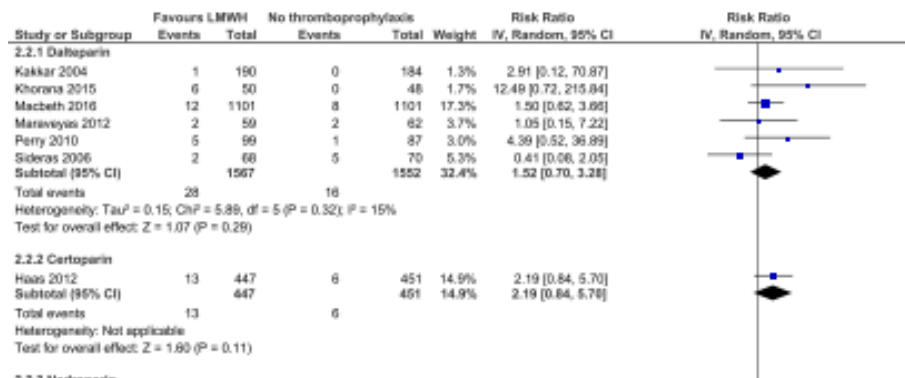
**3.3% vs. 6.1%**  
**NNT = 37**



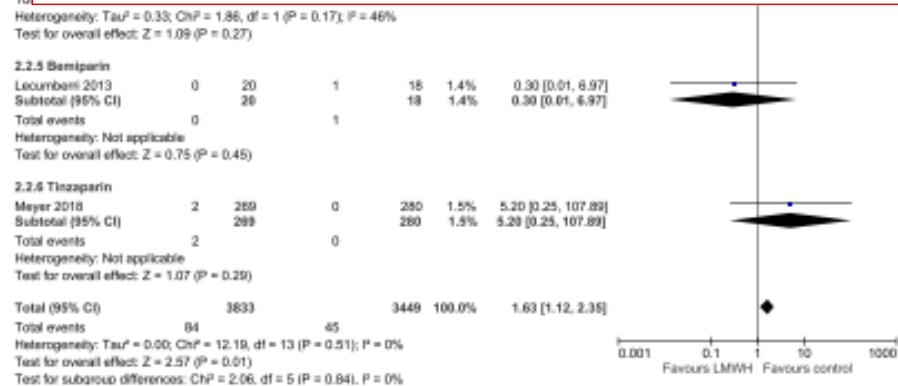
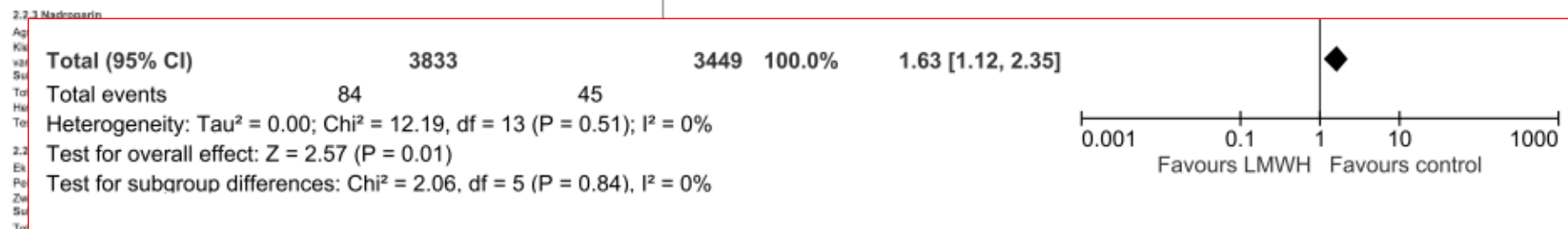


**Any VTE**  
4.4% vs 8.27%  
NNT 27



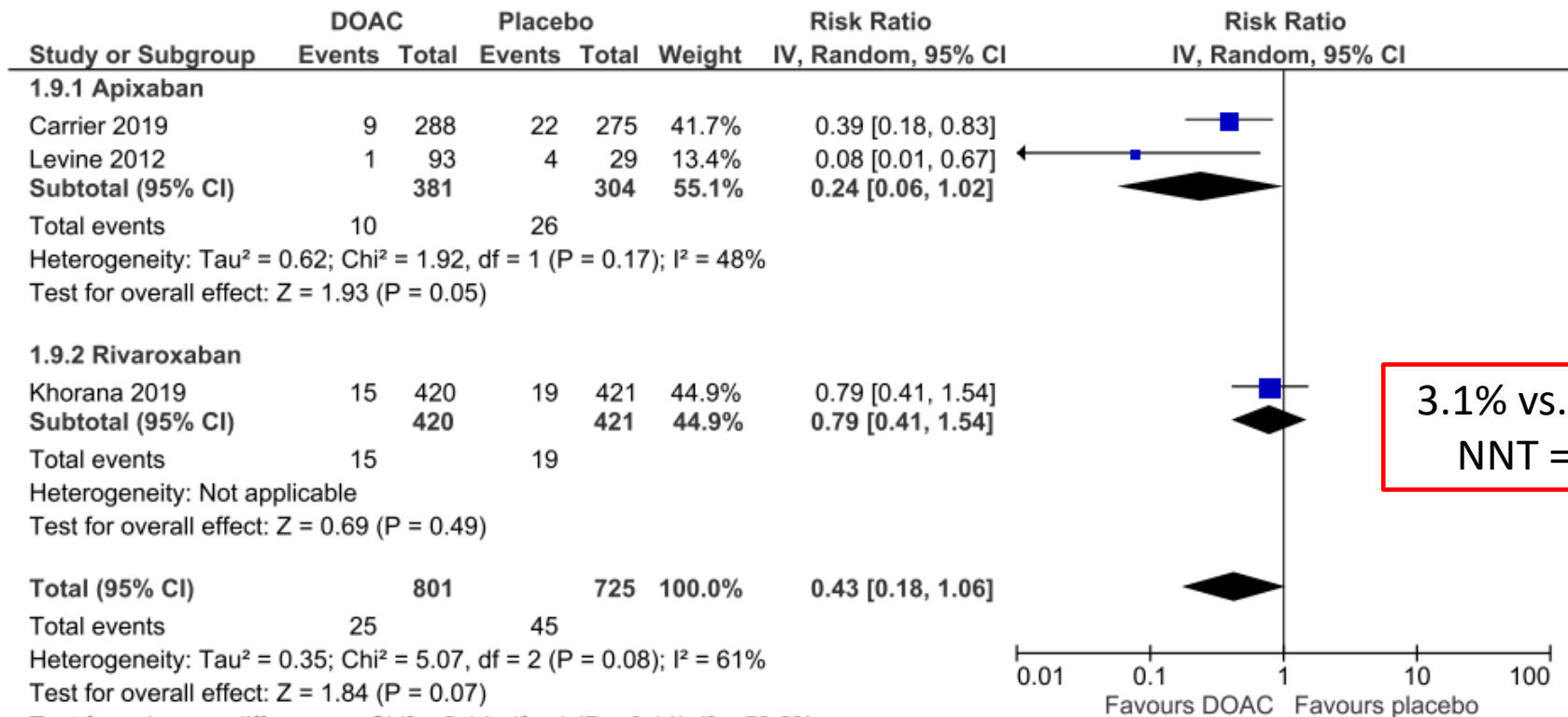


**Major bleeding**  
LMWH vs. placebo/no LMWH

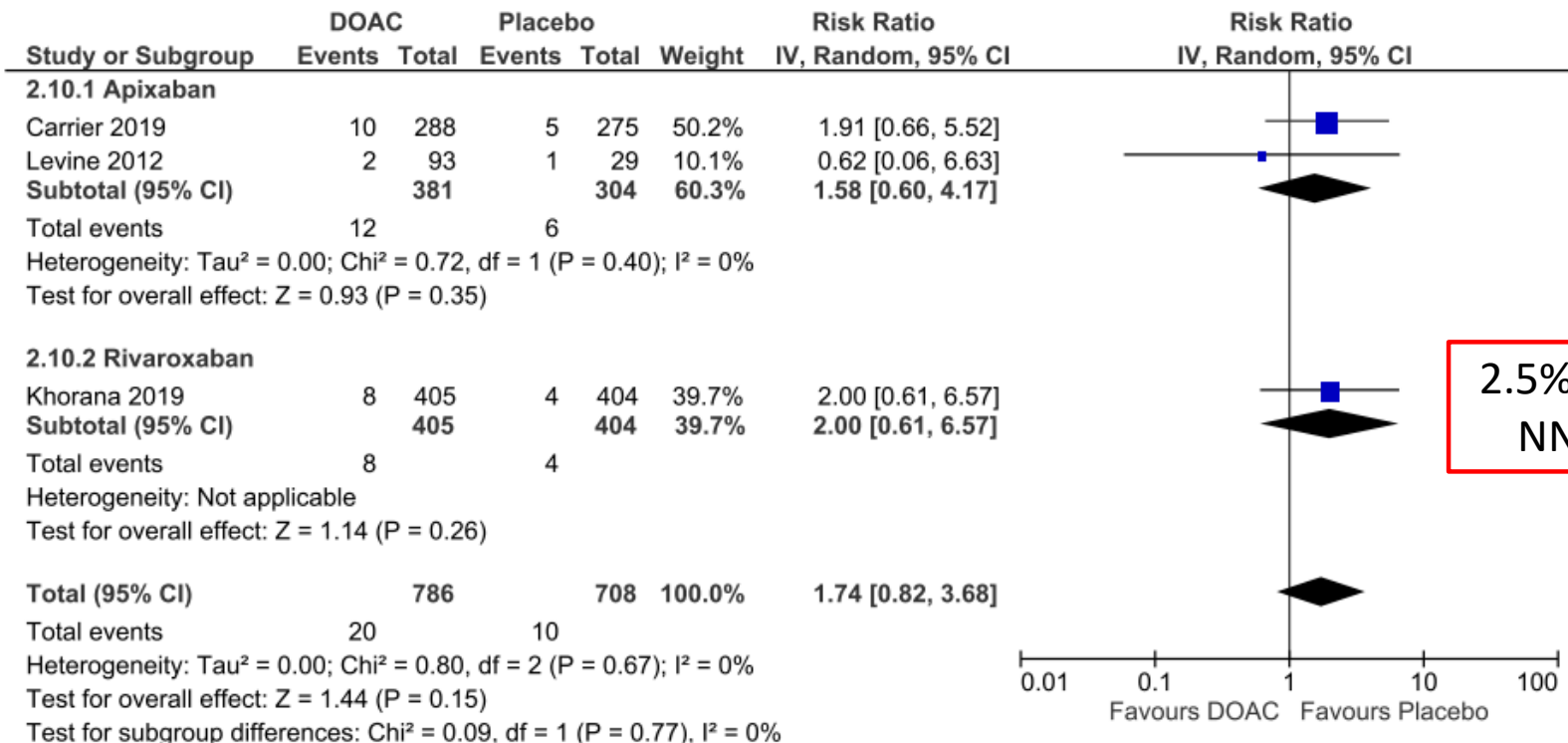


**2.2% vs. 1.3%**  
**NNH = 144**

## DOAC: Symptomatic VTE



## DOAC: Major bleeding



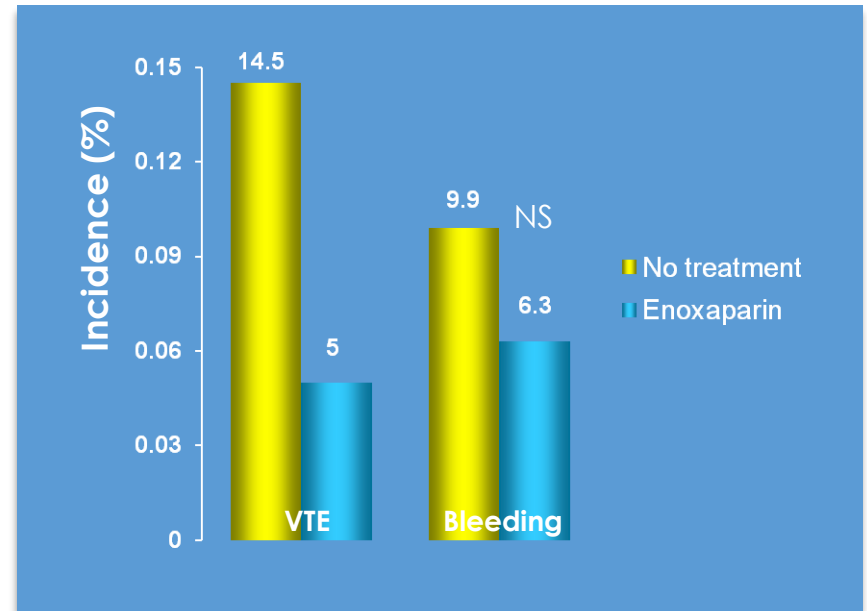
# PROSPECT-CONKO 004

## Study design

- RCT, 312 patients
- Pancreatic cancer
- GFFC vs Gem chemo
- Enoxaparin 1 mg/kg/day\* vs none

## Results

- 12 week incidence of VTE: 14.5% (control) vs 5% (enoxaparin)
- RR: 65% reduction
- No difference in PFS, OS

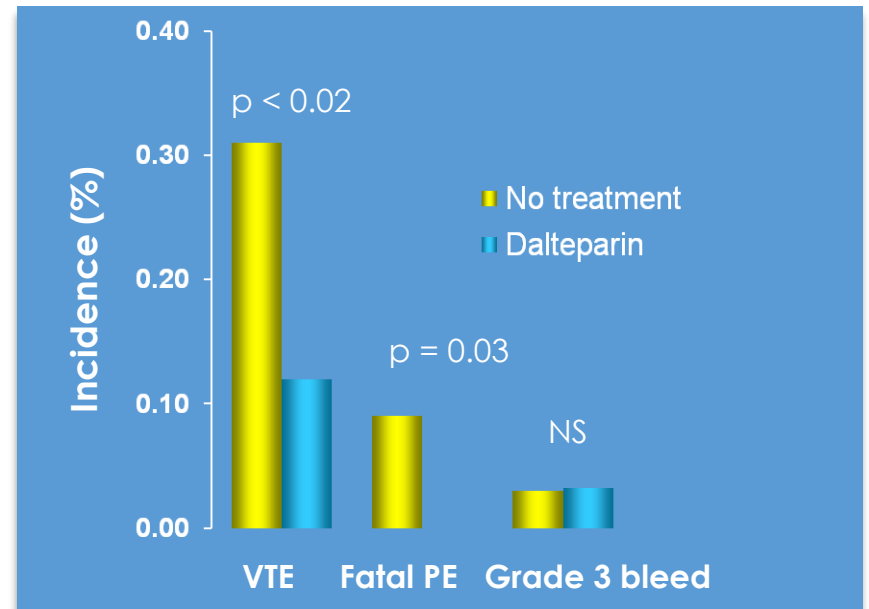


\*1 mg/kg once daily s.c. for the first 12 weeks, thereafter 40 mg once daily.

**GFFC** = gemcitabine, cisplatin, 5-fluorouracil, folinic acid.

# UK – FRAGEM Study

- 123 patients receiving chemotherapy for APC
- Randomized to gemcitabine or gemcitabine + dalteparin
- Dalteparin 200 IU/kg once daily x 4 weeks, then 150 IU/kg x 8 weeks
- Primary outcome: all TE (arterial, venous, incidental) at 3 months



**APC** = metastatic pancreatic cancer.

Maraveyas A, et al. Eur J Cancer. 2012.

## Gaps on Oncologists awareness

- Complex: it requires the management of both the cancer and the thrombosis
- Anticoagulant treatment is viewed as less critical than the antineoplastic treatment
- When the oncologist is the referring physician, no referent may be found for the management of the thrombosis
- Following the VTE diagnosis, there is therefore a risk that no one is accountable or the follow-up, dose adaptation, prolongation or discontinuation of the anticoagulant treatment

**Thromboprophylaxis in oncology patients still seems a neglected clinical issue!**



# CONCLUSIONS

INCREASING AWARENESS IS A TOPIC

PROPHYLAXIS MAY BE CONSIDERED IN  
HIGH RISK PATIENTS

DISEASE ORIENTED STUDIES NEEDED